

Message Text

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AMEMBASSY BONN

AMEMBASSY LONDON

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C O N F I D E N T I A L SECTION 01 OF 02 USNATO 07493

EXDIS

SECDEF FOR OUSDRE(IP) AND (ISA).

E.O.11652: GDS

TAGS: OCON, NATO, MILI

SUBJECT: PROPOSED GROUND RULES FOR WEAPONS FAMILY
CONCEPT

REF: STATE 196620 DTG 032250ZAUG78

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1. WE HAVE LOOKED OVER THE GROUND RULES FOR THE WEAPONS
FAMILY CONCEPT WHICH WERE DISTRIBUTED IN REFTEL AND
BELIEVE THE CONTENT IS BOTH GOOD AND COMPREHENSIVE.
WE DO BELIEVE THE PAPER WOULD BE IMPROVED IF AN INTRO-
DUCTORY PARAGRAPH WERE ADDED, AND SOME OF THE THOUGHTS
REARRANGED A LITTLE. THE TEXT OF OUR SUGGESTED REVISION
FOLLOWS AND HOPEFULLY WILL PROVE HELPFUL. THE REASON
FOR EACH SUGGESTED CHANGE APPEARS AS A PARENTHETICAL
COMMENT AFTER EACH REVISED PARAGRAPH.

2. BEGIN SUGGESTED REVISION.

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- GENERAL. IN ORDER TO USE RESOURCES MORE EFFICIENTLY,
NATO ALLIES WILL UNDERTAKE PROCEDURES TO ELIMINATE NEED-
LESS DUPLICATION OF EFFORT IN THE DEVELOPMENT OF ARMA-
MENTS. THESE PROCEDURES INVOLVE ALLOCATING TO EUROPE
OR NORTH AMERICA THE RESPONSIBILITY FOR DEVELOPING THE
SPECIFIC EQUIPMENTS FROM THOSE WHICH ARE NEEDED WITHIN

THE VARIOUS MISSION AREAS HEREINAFTER REFERRED TO AS FAMILIES OF WEAPONS. THIS IDENTIFICATION OF THE FAMILIES OF WEAPONS AND THEN THE ALLOCATION OF WORK BETWEEN NORTH AMERICA AND EUROPE ON EACH WEAPON SYSTEM COMPRISING THE FAMILIES WILL BE UNDERTAKEN BY CNAD AS PART OF THE TRANSATLANTIC DIALOGUE. FOLLOWING CNAD ACTION, THE RESPONSIBILITY FOR PROJECTS ASSIGNED TO EUROPE WILL BE DETERMINED BY THE IEPG WHEREAS RESPONSIBILITY FOR PROJECTS ASSIGNED TO NORTH AMERICA WILL BE DETERMINED JOINTLY BY THE US AND CANADA. THE FOLLOWING PRINCIPLES WILL BE OBSERVED. (COMMENT: TO EXPLAIN FAMILY CONCEPT, THE METHOD BY WHICH LABOR WILL BE DIVIDED BETWEEN NORTH AMERICA AND IEPG AND TO INCLUDE THE PERTINENT STATEMENT PREVIOUSLY APPEARING IN PARA D, REFTEL.)

- A. INFORMATION EXCHANGE. NATO ALLIES, DRAWING ON EXISTING MACHINERY TO THE MAXIMUM EXTENT, WILL EXCHANGE DATA AND PLANS ON FUTURE DEVELOPMENTS ON AN EARLY AND CONTINUING BASIS AND WILL ALSO EXCHANGE VIEWS ON EQUIPMENT REQUIREMENTS AND QUANTITY NEEDS IN ORDER TO FACILITATE HARMONIZATION OF REQUIREMENTS. (COMMENT: FOR CLARITY AND TO IMPLY MAXIMUM USE OF EXISTING MACHINERY AS WELL AS PAPS-NAPR.)

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- B. MANAGEMENT. CNAD WILL ASSIGN TO EITHER EUROPE
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(IEPG) OR NORTH AMERICA THE RESPONSIBILITY FOR MANAGING SPECIFIC WEAPON SYSTEM PROGRAMS IN THOSE PROGRAM AREAS WHERE MULTIPLE SOLUTIONS ARE NOT WARRANTED BY MILITARY, TECHNICAL OR OTHER REASONS. IEPG FOR EUROPEAN PROJECTS AND US/CANADA FOR NORTH AMERICAN PROJECTS WILL DESIGNATE A LEAD COUNTRY OR COUNTRIES. REPRESENTATIVES OF OTHER NATO ALLIES MAY MONITOR BUT NOT INTERFERE. LEAD COUNTRIES WILL MAKE AVAILABLE THE FOLLOWING INFORMATION: PERIODIC PROGRESS REPORTS, TEST AND EVALUATION DATA, OPERATIONAL USE STUDIES, COST EFFECTIVENESS ANALYSES, ESTIMATED UNIT COSTS AND SCHEDULES. (COMMENT: TO SPECIFY CNAD'S ROLE, TO CLARIFY AND TO INCLUDE ONLY ITEMS PERTAINING TO MANAGEMENT UNDER THIS PARAGRAPH.)

- COMPETITION. ALLIES WILL NOT INITIATE COMPETING DEVELOPMENT PRDGRAMS. HOWEVER, NATIONS MAY UNDERTAKE TECHNOLOGY BASE EFFORTS (RESEARCH AND EXPLORATORY DEVELOPMENT) OR IMPROVE EXISTING SYSTEMS, BUT WILL PROVIDE INFORMATION ON SUCH EFFORTS TO CNAD AND THE APPROPRIATE LEAD COUNTRY. NATIONS WILL ALSO ADVISE CNAD AND THE APPROPRIATE LEAD COUNTRY IN THE EVENT THEY DECIDE TO UNDERTAKE A COMPETING DEVELOPMENT AND

THE REASON THEREFORE -- I.E., DISSATISFACTION, TAKE
ADVANTAGE OF A TECHNOLOGICAL BREAKTHROUGH, ETC.
(COMMENT: FOR CLARITY. THE ORIGINAL FIRST TWO
SENTENCES WERE MOVED TO THE "INTRODUCTORY" AND
"MANAGEMENT" PARAS, RESPECTIVELY.)

- D. OBJECTIVES, REQUIREMENTS, SPECIFICATIONS.
THE LEAD COUNTRY WILL DEVELOP, AND ALL PARTICIPANTS
SHOULD AGREE TO, THE OBJECTIVES FOR EACH WEAPONS

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C O N F I D E N T I A L SECTION 02 OF 02 USNATO 07493
EXDIS
C O R R E C T E D C O P Y TEXT (PARA G LN 5)

SYSTEM PROGRAM IN TERMS OF CAPABILITY, SCHEDULE,
COSTS, REQUIREMENTS AND CERTAIN SPECIFICATIONS.
EVERY EFFORT WILL BE MADE TO MAINTAIN COMMON IEPG
AND NORTH AMERICAN OBJECTIVES AND REQUIREMENTS THROUGH-
OUT EACH PROGRAM AS WELL AS TO ACCOMMODATE THE MILITARY
REQUIREMENTS OF ALL INTERESTED NATO ALLIES. HOWEVER,
THE FINAL DECISION WILL RESIDE WITH THE GOVERNMENTS
FUNDING THE DEVELOPMENT OF THE PROGRAM AT HAND.
(COMMENT: CLARITY AND TO CONSOLIDATE ALL PERTINENT
STATEMENTS UNDER THIS PARAGRAPH, I.E., THE SECOND
SENTENCE OF THE ORIGINAL "FUNDING" PARA AND THE
THIRD SENTENCE OF "MANAGEMENT" PARA HAVE BEEN ADDED.)

- E. FUNDING. LEAD COUNTRIES WILL BE RESPONSIBLE
FOR FUNDING EACH DEVELOPMENT PROGRAM. HOWEVER, SHARED
(INCLUDING TRANSATLANTIC) FUNDING MAY BE NECESSARY AND
IS PERMITTED TO SATISFY A UNIQUE AND DESIRABLE NATIONAL
REQUIREMENT THAT CANNOT BE ACCOMMODATED WITHOUT SIGNI-

FICANT FUNDING IMPACT. EACH NATION RESPONSIBLE FOR
FUNDING AND MANAGEMENT OF A PROGRAM WILL GIVE PRIORITY
BUDGET AND MANAGEMENT ATTENTION TO THE PROGRAM.
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(COMMENT: CLARITY AND TO DELETE SENTENCE CONCERNING
REQUIREMENTS WHICH WAS MOVED TO PARA D ABOVE.)

- F. TECHNOLOGY TRANSFER. TRANSATLANTIC INDUSTRIAL
TEAMING IS ENCOURAGED AND SUPPORTED TO PERMIT THE
BEST TECHNOLOGY AND IDEAS TO BE BROUGHT TO BEAR ON
THE SOLUTIONS. ADDITIONALLY, NATIONS WILL ENDEAVOR
TO SHARE TECHNOLOGY WHICH CAN BENEFIT OTHER WEAPONS
SYSTEMS BEING DEVELOPED AS PART OF THE SAME OR OF
RELATED FAMILIES. (FOR EXAMPLE, MISSILE GUIDANCE
TECHNOLOGY WHICH MAY BE APPLICABLE TO BOTH LONG AND
SHORT RANGE ANTI-TANK WEAPONS AS WELL AS TO ANTI-
SURFACE SHIP MISSILES SHOULD BE SHARED.) (COMMENT:
TO ADD A BROADENED STATEMENT ON SHARING.)

- G. SALES. SALES TERRITORIES FOR THESE WEAPONS
SYSTEMS WILL BE DEALT WITH IN AGREEMENTS EMBODYING
EACH WEAPONS PROGRAM. SALES OF THESE SYSTEMS BETWEEN
NORTH AMERICAN AND IEPG COUNTRIES WILL BE PERMITTED
WITHOUT CHARGE FOR RECURRING R&D INVESTMENT.
RETRANSFERS OUTSIDE NATO WILL BE SUBJECT TO RECOUPMENT.
(COMMENT: NO SUBSTANTIVE CHANGES MADE.)

- LICENSED PRODUCTION. THE NEED FOR, AND EXTENT
OF, LICENSED PRODUCTION WILL BE DEALT WITH IN AGREE-
MENTS COVERING EACH WEAPONS PROGRAM. PRODUCTION BY
THE IEPG OR NORTH AMERICA WILL BE PERMITTED, GENERALLY
WITH SOME SMALL ROYALTY CHARGE (TO BE AGREED AT THE
OUTSET) FOR SALES WITHIN NATO, AND SOME GREATER CHARGE
FOR SALES OUTSIDE OF NATO. (COMMENT: NO SUBSTANTIVE
CHANGES MADE.) END SUGGESTED REVISION. GLITMAN

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